

e Forum



Clive Triance Managing Director,
Global Head of Broker Dealer Outsourcing
HSBC. UK

Event Introduction

David Penstone Director of Sales for Austria and Central and Eastern Europe **Deutsche Bank, UK**

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- » Gain insight into the changing regulatory environment
- » Learn best practices to enhance harmonisation and financial
- » Unlock the benefits of a harmonized settlement system
- » Hear about CSD Regulation and the T2S project
- » Join our Panel Discussions to review regulations, the clearing landscape and the optimization of post-trade effectiveness
- » Debate the big question: Clear or not to clear?
- » Discover the CCPs' role in risk management – from credit risk to systemic risk
- » Get an overview on the current status of the Legal Entity Identifier (LEI)
- » Found out collateral challenges on the stage of global post trade
- » Identify the latest automation tools that will simplify documentation

Panelists:

Graham Ray T2S Programme Manager
Deutsche Bank

Alex Dockx Executive Director
JP Morgan

Andy Hill Director, Market Practice and Regulatory Policy
International Capital Market Association (ICMA)

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Post Trade Forum



11 - 12 September, 2014.
NH Danube City Hotel, Vienna, Austria

Sponsorship opportunity

We make sure to provide a 5* platform to those interested in building long term partnership with industry leaders by bringing a decent number of top management to this event. Therefore, we offer limited number of speaking slot as well as number of other marketing opportunities like, exhibition booth, workshop leadership, panel moderation, cocktail or dinner sponsorship, promotional material distribution, one-on-one meetings, and last but not the least a GLC À la carte sponsorship package for you to be able to choose the degree of your marketing

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To attend the event please contact:

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Book now:

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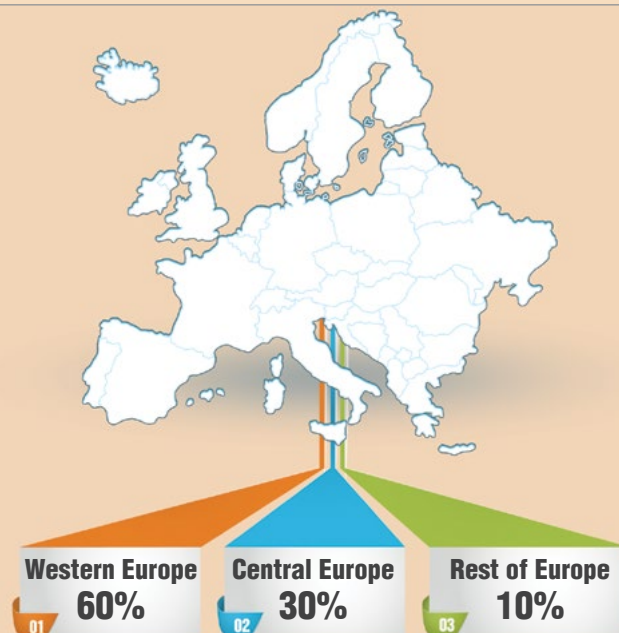
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Who will you meet

- » CEOs, VPs, Global Heads, Directors, General
- » Managers and Senior Managers of
- » Market Structure, Securities, Trading, Market
- » Operations, External and Regulatory Affairs, OTC
- » Derivatives and Post Trade Policy, Financial
- » Markets Policy, Regulatory and Market Policy
- » Payments and Infrastructure and Strategy,
- » Fund and Asset Management, Risk Management
- » Infrastructure and Strategy, Cash
- » Equity Operations, Treasury Directors and
- » Managers, Financial Services, Corporate



Participant chart



If interested in speaking please contact:

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8:30 Registration & Coffee

DAY1

8:50 Opening Remarks from the Chair

9:00 Summary of the Year 2014 and forecast for the future

- Quick 2013 overview of regulatory initiatives:
- MiFID2/MiFIR, EMIR
- Experiences since the introduction of the CRR and CRD IV on 1st January 2014
- How are these regulations supporting one another to promote harmonisation?
- European regulations' role in setting up post trade harmonisation and financial integration

Keynote Speaker: Rafael Plata

Secretary General,

European Association of CCP Clearing Houses (EACH)

9:40 Post-trade services to boom on strong market performance

- The predictable growth of the post-trade
- services sales
- The on-going focus on post-trade providers
- The impact of the implementation of Target2
- Securities (T2S) on the number of players in the market.
- The effect of post-crisis regulation on central securities depositories (CSDs)

Keynote Speaker: Günter Schnaitt

Head of Global Securities Services Austria, UniCredit Bank Austria AG

10:20 Post-Trade Policy – What Next?

Keynote Speaker: Jennifer Robertson

DG Internal Market European Commission

11:00 Morning Coffee and Networking

11:20 T2S and post-trade harmonisation

- T2S and CSD Regulation – what is the current status?
- Fourth T2S Harmonisation Progress Report – what are the results?
- How is the market infrastructure changing?
- Is T2S erasing the differences between cross-border and domestic settlement and demolishing all barriers across countries?
- Where is the future of settlement heading?

Keynote Speaker: Ignacio Terol

Head of Section, European Central Bank, Germany

12:00 The activity done by a CSD as Monte Titoli and the whole domestic market

- The current project status of the bilateral testing of MT with ECB

Keynote Speaker: Alessandro Zignani

Commercial Director Post Trade Division,
London Stock Exchange Group

12:40 Luncheon & Networking

13:40 How Central Clearing reshapes post trade management for OTC derivatives

- What is central clearing and the latest regulatory update on clearing obligations
- How OTC clearing changes both pre and post trade behaviour
- Key considerations for participants such as bilateral vs clearing, cost of clearing and cross asset OTC clearing infrastructure
- What you can do to prepare

Keynote Speaker: Malavika Solanki

Head of Business Development, Sales & Marketing,
CDSClear, LCH.Clearnet, United Kingdom

14:20 CSD Regulation: changes and challenges for the market

- CSDR: State of play and timeline
- What are the main issues for the market?
- A harmonised settlement discipline regime for Europe
- Implementation challenges
- Looking ahead: How does this fit in the wider picture?

Keynote Speaker: Alexander Westphal

Adviser, European Central Securities Depositories Association (ECSDA)

15:00 T2S: New standards on asset servicing and liquidity management

- Impact on global and local custodians
- Changes on asset servicing
- Liquidity management will not be the same any more – an Overview
- Securities and cash financing becoming of strategic importance

Keynote Speakers:

Claudio Anfossi Director, Head of Securities Competence Center, BE Consulting

Peter Peschek Managing Consultant, Targit

15:40 The drive for efficiency in the new world of regulation

- Holistic solutions for clients
- New development in post trade services
- Cross margin and capital efficiencies

Keynote Speaker: Nicholas Chaudhry

Head of OTC Client Clearing, Commerzbank, UK

16:20 Refreshments and networking

16:40 Delivering Large Post-Trade Initiatives: Quality Assurance and Key Challenges

- Difficulties in coping with functional complexity experienced by the project team
- Aligning tests correctly in batches that correspond to events in the daily life cycle, including multiple days
- Accelerating the daily life cycle to speed up test execution and to cover Settlement Failure processes in testing within a reasonable timeframe
- Accurately simulating and controlling test inputs from upstream systems and outputs to downstream systems
- Management of complex Reference Data setup
- Involvement of complex components such as Risk Management and Collateral Management systems
- Test cycle turnaround time, client certification and test automation

Keynote Speakers:

Alyona Lamash FRM Head of Risk Management Practice, Exactpro Systems

Mark Ryland Financial Markets Technology Consultant, Independent Consulting, United Kingdom

17:20 Securities Law: which are the remaining open points?

- Overview of recent legislative initiative impacting securities law
- Are we still talking about securities law (or a custody-regulation)?
- An industry view on regulatory and legal shortcomings in securities holding
- "Collateral" is the name of the game

Keynote Speaker: Thiebald Cremers

Legal Advisor - Public Affairs BNP Paribas

18:00 End of day one

8:30 Registration & Coffee

DAY2

8:50 Opening Remarks from the Chair

9:00 *Securing CCPs value in Risk Management*

- Are CCPs there to manage systemic risk or instead causing it?
- Changing landscape : security vs capital efficiency
- Diversity killed by regulation?
- CCP default scenarios : recovery vs resolution

Keynote Speaker: Geert Vanderbeke

Head of Sales Europe, ABN AMRO Clearing Bank NV

9:40 *Measurement in the 21st century financial system, as major challenge for both public and private sector actors*

- Shared data infrastructures such as the Global LEI System (GLEIS)

Keynote Speaker: Francis Gross

Head of Division, External Statistics, European Central Bank

10:20 *Broker Outsourcing - Myths and Facts*

- What is driving banks and brokers to look at wholesale outsourcing?
- Who is likely to outsource?
- What are the technology and operational challenges?
- Is it really worth taking the risk?
- What are the tangible benefits?

Keynote Speaker: Clive Trance

Managing Director, Global Head of Broker Dealer Outsourcing, HSBC

11:00 Morning Coffee & Networking

11:20 *PANEL DISCUSSION*

New regulation, and the impact on post-trade activities

- MiFID II post-trade transparency, and the importance of keeping secondary markets liquid
- CSDR settlement discipline, in particular mandatory buy-ins, and the role of short-selling in providing secondary market liquidity

Moderator: Andy Hill, Director

Market Practice and Regulatory Policy

International Capital Market Association (ICMA), United Kingdom

Panelists: Graham Ray

T2S Programme Manager, Deutsche Bank

Alex Dockx

Executive Director, JP Morgan

Dave Grace

Post Trade Consultant

12:00 *The need for better post-trade automation*

- Harmonize automating clearing, risk management, reporting processes and while being compliant with EMIR at the same time
- Strategies to eliminate manual intervention (human error)
- How to improve operational robustness for better regulatory compliance?
- Automation as a way of cost cutting-Facts and Myths

Keynote Speaker: David Penstone

Director of Sales for Austria and Central and Eastern Europe, Deutsche Bank

12:40 Luncheon & Networking

13:40 *The most suitable Business Model in the field of tackling market dynamics*

- How to best adjust your business model to the changing business environment
- What challenges are to be overcome?
- How to fight with the tomorrow's competitive environment?

Keynote Speaker: Göran Fors

Global Head of Custody, SEB

14:20 *How to implement an operational control plan embedding the multiple dimensions of types of risks in an investment bank BO/MO*

Keynote Speaker: Veronique Nejman

Global Head Capital Markets Europe BNPP - Head of CIB Operations and Permanent Control ITO Belgium BNP Paribas Fortis

15:00 Refreshments and networking

15:20 *Clear or not to Clear? Current Trends on the OTC Derivatives Market*

- The transformation of clearing in the OTC derivatives market
- Regulatory impact that enhance the reshaping of the OTC derivatives market
- Examination of trade repositories in OTC derivatives markets
- The significance of listed and OTC derivatives

Keynote Speaker: Philippe de Brossard

Head of Electronic, Reporting and Clearing for OTC Operations Société Générale

16:00 *Understanding Collateral Challenges on the Stage of Global Post Trade*

- Understand the impact of Dodd Frank and Basel III on collateral management
- How to successfully mitigate risks, assess collateral needs and manage collateral resources?
- Selecting the best strategies to meet collateral demands
- Preparing to fit in

Keynote Speaker: Holly Welch Murnieks

Executive Director, JPMorgan Chase, United Kingdom

16:40 Closing Remarks from the Chair

End of day two